

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: G. HARDING
Title: Anode module for a liquid metal anode X-ray source, and X-ray emitter comprising an anode module
Serial No.: 10/599,420
Art Unit: Not available
Filing Date: September 28, 2006
Examiner: Not available
Date: October 30, 2006

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

- [X] 1. A list of documents on Form PTO-1449 or Substitute together with copies of each identified document (other than U.S. patents and U.S. patent application publications unless required by the Office) and a translation thereof or a concise explanation of each non-English language document or a Search Report or communication from a non-US patent office or an International Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

This paper is submitted in accordance with:

- [X] 2. 37 CFR 1.97(b): [within three months of national, non-CPA filing, prior to first Office Action, on the merits, or prior to first office action after filing an RCE]

- [] 3. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and

[] a. The required Certification made in item 5 below; or

[] b. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in Item 6 below.

- [] 4. 37 CFR 1.97(d): [on or before issue fee payment]; and

a) The required Certification is stated in item 5 below; and

b) The \$180.00 fee specified in 37 C.F.R. 1.17(p) for submission of this Information Disclosure Statement is authorized in Item 6 below.

[] 5. Certification

- [] a. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- [] b. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.

[X] 6. Payment of all applicable fees:

- Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 090470.
- Enclosed is a check in the amount of \$_____ in payment of all applicable fees associated with the submittal of this Information Disclosure Statement.

This document is submitted in duplicate.

Respectfully submitted,

/mac39148/
Mark A. Conklin
Reg. No. 39,148
Patent Counsel

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187 Danbury Road
Wilton, CT 06897-4122

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Substitute for Form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

of

Complete if Known	
Application Number	10/599,420
Filing Date	September 28, 2006
First Named Inventor	G. HARDING
Art Unit	Not available
Examiner Name	Not available
Attorney Docket Number	11HP201653

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature	/Thomas Artman/	Date Considered	02/29/2008
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~~EXAMINER: Indicate if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if it is not in conformance and not considered. Include copy of this form with next communication to applicant. "Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. "Kind of document by the two-letter code (WIPO Standard ST.3).~~

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The filing fee information is required by 37 CFR 1.87 and 1.88. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments or amount of time required to respond to this form and/or suggestions for reducing the burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20591-0000. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2 of 2 Attorney Docket Number 11HP201653

NON-PATENT LITERATURE DOCUMENTS

Examiner Signature	/Thomas Artman/	Date Considered	02/29/2008
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with each communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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